

# Form CRS

June 26, 2020

## Introduction

McGuire Investment Group, LLC, doing business as McGuire Wealth Management, is registered with the Securities and Exchange Commission as an investment adviser. Fees for brokerage and investment advisory services differ among broker-dealers and investment advisers and it is important that you understand the differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

## What investment services and advice can you provide me?

We offer a variety of investment advisory services to retail investors, including investment management through a wrap fee program and wealth management services.

- When you engage our firm for investment management services, we will provide ongoing advice and monitoring of your investments. In your investment advisory agreement with us, you give us discretion to determine the investments to buy and sell in your account. Only in limited circumstances will we give clients the option to retain discretion and make the ultimate decision regarding the investments we purchase or sell. We generally require a minimum initial investment to open an account. We do not require a minimum investment amount to maintain an account.
- Our investment advice is not limited to proprietary products or a limited menu of products or types of investments.

You should carefully review our [Form ADV Part 2A Brochure](#) and [Wrap Brochure](#), which is available on our website, [mcguirewealth.com](https://mcguirewealth.com), for more detailed information about our services.

### Conversation Starters:

Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

## What fees will I pay?

- For investment management and wealth management services, we charge fees based on a percentage of assets under management according to a graduated declining schedule. These fees are assessed quarterly in advance. Our wrap program fees include advisory fees and most transaction costs and fees for clearing and custodial services that we pay on your behalf, and therefore are typically higher than a stand-alone asset-based advisory fee that does not include transaction costs and fees for clearing and custodial services. The more assets there are in your advisory account, the more you will pay in advisory fees, which gives us an incentive for the assets in your account to increase.
- You may incur other fees and costs related to certain investments in your account, charged directly by the custodian and not by us. These include wire transfer fees if wire transfers are requested, and other ancillary account fees as applicable, such as account termination fees, check stop payment fees, and check reorder fees. You may also incur internal management fees of mutual funds and exchange traded funds if these investments are held in your account. We do not receive payment or compensation on any of these other fees.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. It is important that you carefully review our [Form ADV Part 2A Brochure](#) and [Wrap Brochure](#), which is available on our website, [mcguirewealth.com](http://mcguirewealth.com), for additional information about our fees.

**Conversation Starters:**

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

## What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

*When we act as your investment adviser*, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interest. You should understand and ask us about these conflicts because they can affect the investment advice that we provide you. Here are some examples to help you understand what this means:

- Your broker-dealer and custodian provide us with access to electronic systems that assist us in managing your account, as well as research, software, other technology, and discounted negotiated margin and lending rates for our clients. This gives our firm an incentive to recommend the broker-dealer and custodian over another broker-dealer and custodian that does not provide similar benefits.
- We are fiduciaries to you. That means we are required to act in your best interest throughout our entire advisory relationship.

**Conversation Starters:**

How might your conflicts of interest affect me, and how will you address them?

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## How do your financial professionals make money?

Our financial professionals may receive a salary and a discretionary bonus based on the amount of profits earned by the firm. Since our only source of direct revenue is from the advisory fees paid by our clients, this compensation structure is an incentive for us to increase the amount of assets we have under our management.

## Do you or your financial professionals have legal or disciplinary history?

No.

Please visit [Investor.gov/CRS](http://Investor.gov/CRS) for a free and simple search tool to research our firm and your financial professional.

**Conversation Starters:**

As a financial professional, do you have any disciplinary history? For what type of conduct?

## Additional Information

You can find additional information about our investment advisory services in our [Form ADV Part 2A Brochure](#) and [Wrap Brochure](#), which is available on our website, [mcguirewealth.com](http://mcguirewealth.com). You can request up-to-date information and a copy of our relationship summary by contacting us at (401) 364-5000.

**Conversation Starters:**

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?  
Who can I talk to if I have concerns about how this person is treating me?